

## MINUTES

### Research Strategic Advisory Council

Thursday, January 18, 2018

3:30pm – 4:30pm

AAB 302

**Members Present:** Andrea Giuffrida, Kathryn Aultman, Robert Clark, Christopher Green, Byron Hepburn, Erzsebet Kokovay, Ruben Mesa, Alexander Pertsemlidis, Jennifer Potter, Shivani Ruparel, Rajeshwar Tekmal, and Kyumin Whang

**Members Absent:** Carrie Jo Braden, Maureen Simmonds and Brij Singh

**Guests:** Michael Black and Andrea Marks

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**Minutes from 11/16/17 were approved.**

### Facilities & Administrative Revenues in Support of Research FY17

Mr. Black and Andrea Marks presented an overview of indirect cost recovery revenues. Indirect cost recovery represents the actual costs that have been incurred by the institution in support of research and the calculation method is prescribed by the federal costing principles. The current rate is 52.5% (effective 9/1/14 – 8/31/18) for on campus research and 26% for off campus research. Chris Green is the lead with the Huron consultants to gather data to recalculate and negotiate the new rate. Even though the rate is 52.5%, only 31% is the actual recovery rate for organized research as full recovery is not possible from all research sponsors. For all sponsored awards (research, public service, training grants), the average is 24%. The cost on which IDC can be charged is modified by the federal rules and excludes capital equipment and sub-recipient payments. There are also agency limitations on F&A received such as 8% on NIH K and T awards, voluntary health organization and foundations often have 15% or less, Texas research programs (CPRIT) provides 5.2%. The total Organized Research Expenditures (training grants are not included in organized research) have decreased from \$116,752,231 in FY12 to \$92,670,701 in FY17. There are five facilities & administration cost pools designated by federal rules to recover costs. The cost pool allocation is used to assess the distribution of the FY17 F&A revenues of \$26.6M (includes federal negotiated rate % out of 52.5% / % calculated allocation of cost pool / \$ calculated allocation of cost pool:

- 1) Building & equipment depreciation (10.7% / 20.4% / \$5,430,80)
- 2) General administration & library (12.7% / 24.2% / \$6,445,914)
- 3) Department administration (10.8% / 20.6% / \$5,481,565)
- 4) Operations & maintenance (13.9% / 26.4% / \$7,054,977)
- 5) Sponsored programs administration (4.4% / 8.4% / \$2,233,230)

The FY17 F&A institutional allocation calculated vs actual expensed based on cost pools include:

- 1) Building & equipment depreciation (7.5% actual)
  - Less than calculated however - Mr. Black noted money would need to be allocated toward infrastructure and renovations over the next 3-5 years.
- 2) General administration & library (2-4 combined 68.6% actual)\*
- 3) Department administration (2-4 combined 68.6% actual)\*
- 4) Operations & maintenance (2-4 combined 68.6% actual)\*
  - \*2-4 combined is operational support which actual allocation is divided amongst General Administrative and Library (\$6,205,561), Operation & Management of Facilities (\$6,791,914) and Departmental Administration (\$5,277,170). Total: \$18,274,645/68.6%
- 5) Sponsored programs administration (12.9% actual)

In FY17 approximately \$2.9M/11% was left for Research Growth Initiatives, which is not enough to cover all our investment needs in research. In addition to F&A Revenues, the President has other sources available such as tuition revenue bonds, Clinical Taxes and Interest Income, which all contribute to the Institutional Development Fund (IDF), and that could fund initiatives associated with Research, Clinical, Education and Other.

### **Guidelines for press releases solicited by faculty**

Dr. Giuffrida noted that Heather Adkins, Chief of Marketing & Communications Officer asked Dr. Giuffrida for assistance in developing guidelines for research/publications press release requests from faculty.

The VPR office developed a document that consists of 2 parts:

--Part 1 is a list of documents/information that the Office of the Vice President for Research will provide to the Communications Team on a bi-monthly basis. This includes:

- 1) List of Top Inventors
- 2) Licensing agreements, patents, public/private partnerships and embargoed technologies
- 3) New grants above \$1 million
- 4) Advanced notification of papers published in high impact journals

--Part 2 is a list of questions for the Communications Team to consider:

- 1) Is the research published in a high impact journal?
- 2) Is the research paper embargoed?
- 3) Is the research supported by a major grant?
- 4) Have you filed an invention disclosure, or do you have a patent-pending? If so, who is the business development manager in the Office of Technology Commercialization assisting you?
- 5) Does your research change what is known about this area and/or has potential public health relevance?

The council recommended for an additional question to be added to Part 2:

- 6) Is this of interest to the general public?

Dr. Giuffrida will add the recommendation and present the revised guidelines to the Deans' Council at their next scheduled meeting.

Meeting adjourned at 4:45pm